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Approved for use through 10/31/2002. OMB 0651-0031

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	6	PE	Applie	cation Number	09/991,3	79			
	ISMITT/AL		<b>%</b> Filing	Date	Novembe	er 15, 2001			
F	ORM ( MA)	R 1 3 2002	Èirst I	Named Inventor	Mascava	ge, John Joseph			
<b>\</b>			Group	Art Unit	2161				
(to be used for all correspondence Francisco F									
Total Number of Pages in This Submission 15 Attorney Docket Number 020375-002710US									
ENCLOSURES (check all that apply)									
Fee Transmittal Fo	orm	Assignment Papers (for an Application)		After A	Allowance Communication to				
Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Res	ponse	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final				Slip (PTO/SB/69) ying Petition	Proprietary Information				
Affidavits/deda	aration(s)		to Conv		Status Letter				
		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):					
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund		·	Request to Correct Filing Receipt				
Information Disclosure Statement		CD, Number of CD(s)			Return Po	stcard			
Certified Copy of Priority Document(s)		Rema	Remarks The Commissioner is authorized to Deposit Account 20-1430.			o charge any additional fees to			
Response to Missi Incomplete Applica				I					
Response to N Parts under 37 1.52 or 1.53									
	SIGNA	TURE OF A	APPLIC	ANT, ATTORNEY, OI	R AGENT				
Firm	Townsend and Tov					-			
and Individual name	Thomas D. Franklin	1		Reg. No	. 43,616				
Signature	. X27	<b>d</b>	<u> </u>						
Date	March 4, 2002								
		CE	RTIFICA	TE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:  March 4, 2002									
Typed or printed name	Typed or printed name Cindy Bennett								
Signature	1/1/1	D (J.	Van	A T	Date	March 4, 2002			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7063998 v1

Approved the through 10/31/2002. OMB 0651-0032
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TOTAL AMOUNT OF PAYMENT

MAR 1 3 2002

Patent fees are subject to annual

	Complete if Known	
Application Number	09/991,379	
Filing Date	November 15, 2001	
First Named Inventor	Mascavage, John Joseph	
Examiner Name		
Group Art Unit	2161	•
Attorney Docket No.	020375-002710US	

Deposit Account Number		sioner is hereby authorize and credit any over pay		3. ADI	SITIONIAL				
Deposit Account		and credit any over pay	monte to:	FEE CALCULATION (continued) 3. ADDITIONAL FEES					
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	2000			105 127	130	205	65	Surcharge - late filing fee or oath	130.00
Deposit	tiert				50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsend and	d Townsend and Crew L	LP	139	130	139	130	Non-English specification	
Name				147	2,520	147	2,520	For filing a request for reexamination	
Charge Any Under 37 Cl	Additional Fee I FR 1.16 and 1.1			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant cla See 37 CFF		y status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment	t Enclosed:			115	110	215	55	Extension for reply within first month	110.00
☐ Check	☐ Credit card		Other	116	400	216	200	Extension for reply within second month	
		Order		117	920	217	460	Extension for reply within third month	
1. BASIC FILI		ALCULATION		118	1,440	218	720	Extension for reply within fourth month	
	mall Entity			128	1,960	228	980	Extension for reply within fifth month	
Fee Fee Fe	-	Fee Description		119	320	219	160	Notice of Appeal	
Code (\$) Co	ode (\$)	·	Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101 740 20	)1 370	Utility filing fee	740.00	121	280	221	140	Request for oral hearing	
106 330 20 107 510 20		Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 20	8 370	Reissue filing fee		140	110	240	55	Petition to revive – unavoidable	
114 160 21	14 80	Provisional filing fee		141	1,280	241	640	Petition to revive – unintentional	
		_		142	1,280	242	640	Utility issue fee (or reissue)	
	SUBTOTAL	L (1)	(\$)740.00	143	460	243	230	Design issue fee	
2. EXTRA CLAIM	FEES			144	620	244	310	Plant issue fee	
E. EXTITA OLAMI		Extra Fee from	Fee	122	130	122	130	Petitions to the Commissioner	
otal Claims 20		Claims below 0 X \$18	Paid	123	50	123	50	Petitions related to provisional applications	
dependent laims 3	-3** =	0 X \$84	= \$0	126	180	126	180	Submission of Information Disclosure Stmt	
lultiple ependent		x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
Large Entity		у		146	740	246	370	Filing a submission after final rejection	
Code (\$)	Fee Fee Code (\$)	Fee Description		149	740	249	370	(37 CFR § 1.129(a)) For each additional invention to be	-
	203 9	Claims in excess of 2		ŀ				examined (37 CFR § 1.129(b))	
	202 42	Independent claims in		179	740	279	370	Request for Continued Examination (RCE)	
	204 140 209 42	Multiple dependent cl ** Reissue independe		169	900	169		Request for expedited examination	
		original patent						of a design application	<u> </u>
110 18 2	210 9	** Reissue claims in e over original patent	excess of 20 and	l	e (specif	• •	و المام الم	d &b	
	SI	UBTOTAL (2) (\$)0.0	0	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
*for number organisment	hu paid if arosts	; For Reissues, see above		*Reduc	ed by Ba	sic Filing	Fee Pa	id SUBTOTAL (3) (\$)280.9	00

			Com	plete (if applicable)
homas D. Franklin	Registration No. (Attorney/Agent)	43,616	Telephone	303-571-4000
m			Date	March 4, 2002
-				nomas D. Franklin Registration No. (Attorney/Agent) 43,616 Telephone

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020375-002710US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Joseph Mascavage III, et al.

Application No.: 09/991,379

Filed: November 15, 2001

For: Online Purchasing Method

Examiner:

Art Unit:

TRANSMITTAL LETTER – RESPONSE TO NOTICE OF MISSING

2161

**PARTS** 

Attn: Box Missing Parts

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated December 4, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
- 3) Petition to Extend Time
- 4) Assignment
- 5) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

(a) Filing Fee (§ 1.16(a)) (Large Entity)

\$740.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

John Joseph Mascavage III, et al. Application No.: 09/991,379 Page 2

(c)

20 - 20 = 0\$0.00 x \$18.00 =3 - 3 = 0x \$84.00 =\$0.00 Missing Parts Surcharge \$130.00

TOTAL FEES TO BE CHARGED

\$870.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Momas D. Franklin Reg. No. 43,616

#### Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 415 576-0300

TDF:cmb

DE 7063972 v1



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/991,379

11/15/2001

John Joseph Mascavage

020375-002710US

CONFIRMATION NO. 2669

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

Date Mailed: 12/04/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 376 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 4<del>35</del>.5৪৭০

A copy of this notice MUST be returned with the reply.

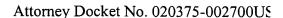
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/18/2002 BNGUYEN1 00000152 201430 09991379

01 FC:101 02 FC:105 740.00 CH 130.00 CH



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Assistant Commissioner for Patents Washington, D.C. 20231

on March 4, 2002

TOWNSEND and TOWNSEND and CREW LLP

Cirdy Bennett

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

FILING RECEIPT

2161

REQUEST FOR CORRECTED

In re application of:

John Joseph Mascavage III et al.

Application No.: 09/991,379

Filed: November 15, 2001

For: ONLINE PURCHASING

**METHOD** 

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicants hereby request the following correction to the Filing Receipt in the above-identified application with a mailing date of December 4, 2001.

The first named Applicant should be John Joseph Mascavage, III (add III). Also, please change Applicant's entity status to Large Entity. For your convenience, a copy of the Filing Receipt with the corrections shown in red is attached.

Respectfully submitted,

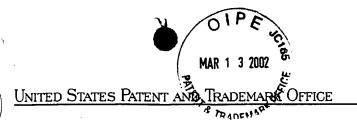
Thomas D. Franklin Reg. No. 43,616

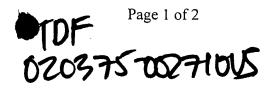
TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor

San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

TDF:cmb DE 7064008 v1





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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/991,379	11/15/2001	2161	0.00	020375-	17	20	3.

REC'D

**CONFIRMATION NO. 2669** 

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

**DEC** 1 1 2001

FILING RECEIPT

\*OC000000007152835\*

Date Mailed: 12/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you r ceived a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John Joseph Mascavage, San Mateo, CA; Margaret Morgan Weichert, San Carlos, CA; Robert Edwin Dravenstott, San Mateo, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/516,209 02/29/2000

**Foreign Applications** 

If Required, Foreign Filing License Granted 12/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

LARGE \*\* SMALL ENTITY \*\*

Title

Online purchasing method

**Preliminary Class** 

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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